Land Surveyor’s Ethics

Two (2) Continuing Education Hours
Course #LS1001

Approved Continuing Education for Professional Engineers and Land Surveyors
Course Description

This course satisfies two (2) hours of continuing education ethics.

The course is designed as a distance learning interactive course that enables the practicing land surveyor to revisit the emphasis that the holder of a professional license has a direct and vital impact on the safety, health, and welfare of the public.

Objectives

The primary objective of this course is to familiarize the student with the standards of professional behavior for adherence to the highest ethical conduct.

Upon successful completion of the course, the student will be well versed in the highest standards of honesty and integrity deemed paramount to this profession.

Grading

Students must achieve a minimum score of 70% on the online quiz to pass this course.

The quiz may be taken as many times as required until the student successfully passes.

The quiz may be viewed in the final chapter of this course.
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LEARNING OBJECTIVES

Upon completion of this course the student will be able to:

1. Describe the value of being a Professional and discuss the various aspects of professionalism
2. Understand the responsibilities of being a professional land surveyor
3. Discuss ethical principles and codes of conduct including the land surveyor’s ethics creed and cannons
4. Discuss the nine aspects of the principles of land surveyor ethics

INTRODUCTION

Background

Survey licensing acts in each of the fifty states confer professional status upon surveyors. This status gives recognition to the expert knowledge attained by the surveyor and grants a privilege to practice the profession of surveying. Along with this recognition and privilege come inherent responsibilities to the client and to the general public both of whom must place trust in the knowledge, expertise and actions of the surveyor. State survey licensing boards are often confronted with complaints from clients or from members of the public who feel that this trust has been compromised or betrayed.

Professionalism and ethics are very important in the practice of surveying. Ethics is the web that binds the various components of surveying practice into a recognizable and respected profession. It serves as a framework for dealing with clients, employees, employers, other practitioners, other professions, and the public at large. A course on professionalism and ethics is essential to gaining a basic understanding of the practical application of ethics, expose practitioners to ethical dilemmas and their resolution, to learning value based ethical analysis, and to the application of ethics to help make responsible professional and business decisions.

Surveyors are often confronted with ethical dilemmas in the day to day practice of their profession. While some of these problems may be routinely handled by the proper application of common sense, other problems are often ambiguous. Often, there is no right or wrong answer to the dilemma. However; we often find that some answers are preferable to others. How the surveyor handles each problem reflects not only on that individual surveyor, but also on the surveying profession.

Professional organizations offer many excellent "ethical canons" or "codes of ethics" that are useful as guidelines for ethical behavior. In addition, many state survey licensing laws have codified unacceptable ethical behavior. While such codes and laws are quite useful for many simple cases, situations often arise where the codes or laws may appear to conflict with one another. Such complex and confusing situations require greater reflection by the
surveyor in order to find an acceptable course of action. In some cases, it may appear that there is no absolutely correct course of action, nor may all surveyors agree as to the best course of action. In such cases surveyors must be able to satisfactorily justify to both themselves and to others their apparent best course of action.

**Problem**

Unfortunately, surveyors often receive little training in how to apply rules of ethical behavior to the problems they may face in everyday practice. This deficiency is now beginning to be addressed in the surveying programs in universities and by national and state level surveying professional organizations across the U. S. despite a deficiency of training materials. However, those already in practice may have little or no chance to attend classes at these universities and need to be offered other avenues to ethical education. These surveyors also should be able to benefit from ethics education through means that do not cause inconvenience or hardship.

**PROFESSIONALISM**

**Definition**

There is sometimes confusion over what the term “professional” really means in American society today. Like many other words in the English language, abuse of this term has led many to define a “professional” as one who does something for pay, as opposed to an “amateur” who performs from purely altruistic motives. Thus, we have “professional” and “amateur” athletes, “professional” truck drivers, and even “professional” pet sitters. This abuse has led to blurred understandings over what being a professional really means and what special privileges are conferred and what special responsibilities are expected from those practicing as professionals.

**An attitude of mind.** Any man in any honorable calling can make his work professional through an altruistic motive.

**A certain kind of work.** The individual practice of some science or art on an elevated intellectual plane.

**A special order in society.** A group of persons set apart and specifically charged with a distinctive social function.

**A confidential relationship.** It involves a confidential relationship between an agent and a client.

**A vocation or occupation** requiring special, usually advanced, education and skill; e.g. law or medical professions. Also refers to the whole body of such profession.

The labor and skill involved in a profession is predominantly mental or intellectual, rather than physical or manual.

Some ideas & definitions of professionalism

The roots of professionalism are thought to lie in theology where clergy “professed” a
**Definitions**

| **Occupation.** | That which principally takes up one's time, thought, and energies, especially one's regular business or employment; also, whatever one follows as the means of making a livelihood. Particular business, profession, trade, or calling which engages individual's time and efforts; employment in which one regularly engages or vocation of his life. |
| **Practitioner.** | He who is engaged in the exercise or employment of any art or profession as contrasted with one who teaches such. |
| **Vocation.** | One's regular calling or business; one's occupation or profession. The activity on which one spends major portion of his time and out of which he makes a living. |
| **Trade.** | The act or business of buying and selling for money; traffic; barter. Trade is not a technical word and is ordinarily used in three senses: (1) in that of exchanging commodities by barter or by buying and selling for money; (2) in that of an occupational generally; (3) in that of a mechanical employment, in contradistinction to the learned professions, agriculture, or the liberal arts. The business which a person has learned and which he carries on for procuring subsistence, or for profit; occupation or employment, particularly mechanical employment; distinguished from the liberal arts and learned professions, and from agriculture. A line of work or a form of occupation pursued as a business or calling, as for livelihood or for profit; anything practiced as a means of getting a living, money, booty, etc.; mercantile or commercial business in general, or the buying and selling, or exchanging, of commodities, either by wholesale or retail within a country or between countries. |
| **Professional association.** | Any group of professional people organized to practice their profession together, though not necessarily in corporate or partnership form. A group of professionals organized for education, social activity, lobbying and the like; e.g., bar or medical association. |

Duty to God. “Profession” took on a secular meaning sometime in the late 17th century, extending to medicine and law and later to surveying and engineering in the mid-19th century. Professionalism is also thought to have roots in the trade guilds of medieval Europe which attempted to protect their crafts through quality standards and strict membership criteria. Law and medicine, where advanced doctoral degrees are required for admission to the profession, can be considered the “higher” professions. Engineering, architecture, geology, surveying, etc., normally require only a bachelor’s degree as a prerequisite for professional stature. Professionals are distinguished from businesspersons primarily by providing services instead of goods. However, not all service providers may be considered professionals. Those services requiring predominantly manual skills are considered trades. Only those services which require high intellectual skills as well as manual skills are considered professions.
Whichever definitions of professional or professionalism are used, we can see that the professional is given a special recognition by society in return for a special commitment by that profession. Being a professional is not a right brought about by serving one’s time and passing an exam but, rather, it is instead a privilege that must be earned and maintained by each individual professional.

A profession guarantees to the public the competency of its practitioners while the public protects the profession by granting it a privileged status before the law. Belonging to a profession implies an obligation to serve society over and above the obligations to serve a client or employer.

Professionals have clients while non-professionals have customers. While many normal business relationships with customers may be based on the concept of *caveat emptor* (let the buyer beware), the professional business relationship confers a responsibility on the practitioner to protect the client. Clients defer to the expertise of professionals to provide guidance in the kinds of services needed and, thus, must have trust in the profession and the individual professional. Both the profession and the professional are obligated to ensure that this trust is not misplaced.

Professions are dynamic. New technologies are constantly being developed which in turn require constant revision and updating of both intellectual and physical professional skills. Professionals must know not only how the technology works, but also when it is appropriate to apply a new technology. An understanding of professional commitments to the client may preclude the use of expensive new technologies that provide no appreciable benefit to either the client or to the public.

**Characteristics of a Profession**

Professions are characterized by several factors:

1) **Professions have a systematic theoretical base.** This theoretical base is the focus of all professional practice. It is a body of consistent knowledge, based on theory, which is constantly checked and updated, and which forms the basis for professional actions. Mastering this base requires intellectual as well as practical experience to acquire sufficient skills to practice the profession.

2) **Professions must satisfy an indispensable and beneficial social need.** Public health, safety and welfare may be harmed from misuse or poor application of these services. The client or employer, who may be requesting professional services merely as a regulatory or permitting requirement, does not always appreciate this factor.

3) **The client grants Professional authority.** The client presumes his needs will be met without having to direct the process. Responsibility for the service to be performed passes from the client to the professional. The professional decides which services are actually needed and provides them. The professional is trusted not to exploit this authority to gain unreasonable profit or gain.

4) **The profession must have community approval.** Such approval is typically given in the United States through a state board of registration. The board will set qualifications and administer exams to ensure minimal technical proficiency. The board is usually empowered to take actions against those who misuse their professional authority. Boards may also take actions against those practicing without registration with the board.
5) There must be a professional culture comprised of social values and interpersonal behavior norms among members of the profession. These norms, sometimes called “professional courtesy,” may extend to include client acquisition and screening, as well as specific responsibilities to the client during performance of professional services. Elements of this culture may be explicitly expressed in codes of professional conduct.

6) There must be codes of professional conduct (also called “codes of ethics” or “canons of ethics”). These codes serve as guidelines for behavior within the profession. They also inform the public of the basic rules by which services are offered and provided. Codes may be written by professional societies, which make them voluntary, or they may be written into professional statutes or regulations and enforced by state boards of registration.

**Professional Societies**

Professional societies are composed of members of a profession. Any member of the profession may be eligible to join although, in many cases, not all do. Professional societies help to define the profession and provide direction for professional activities. Professional societies represent the profession in the task of ensuring trust for the profession among clients and the public. Most have a code of professional conduct that defines proper professional behavior and serve as a possible body of peers ready to judge possible misbehavior of their colleagues (Wisely, 1983).

Professional societies serve both professionals and the public. They are the vision of the future of the profession. They are often the voice of professionals in enacting or revising laws pertaining to the profession. They set the standards to which professionals are expected to perform. They provide support and dignity to individual members in the success of their professional careers. And they provide a window into the profession by which the public may judge it.

Like professions, professional societies are also dynamic. A society composed of several hundred or several thousand members cannot hope to voice the differing concerns of each individual member. Care must be taken that individuals and/or cliques of authority within the society do not ignore the legitimate concerns of individual members. Care must also be taken that the society does not adopt policies that may degrade perceptions of the profession in the eyes of the public. Constant debate and criticism from within are signs of a healthy professional society.

Membership in professional societies ensures that the professional is in touch with issues that concern his or her profession. Members have meetings and educational
seminars that allow the professional to keep abreast of current technology. Those who do not follow the activities of professional societies risk losing touch with their profession and thus failing their responsibilities as a professional.

**Professional Opinions**

The most important privilege conferred to professionals is the right to a “professional opinion.” This privilege allows the professional the right to express an opinion or prepare a design as a function of professional service in his or her area of expertise. This privilege is denied to all others.

While many see only the end results, or “products” offered by professionals, these products are not possible without the exercise of professional opinions. The lawyer decides the strategy to defend a client in court. The doctor decides the proper course of treatment for a disease. The engineer decides the best design for a bridge. The surveyor decides the proper location of a property boundary line. All these decisions are based on the legal right to express a professional opinion and then provide the necessary services or product to implement the opinion. Given the often incomplete or conflicting information professionals must deal with when concluding their opinions, it is not surprising that occasionally different professionals will conclude differently. As long as both opinions were formed on the basis of prudent technical and ethical standards, both opinions may be “right” even though one opinion may eventually prevail over the other.

Professional opinions are not trivial things. They are based on a solid foundation of knowledge and experience and require the “high level of mental and intellectual activity” found in the legal definition of professionalism. Misuse of this privilege can result in serious harm; thus, it is only granted to those who are able to show by education and experience to be able to properly exercise this right. Professionals can be distinguished from others in society by their right to form an opinion and to base their services and/or products on this opinion.

**Professional Liability**

Professionals are held to a different standard of liability than non专业人士. While all persons entering business transactions are held to the terms of business contracts, whether written or not, only professionals may be held responsible for terms outside of the contract. These liabilities include the responsibility to provide adequate, competent, and timely service to the client, the responsibility to safeguard public health, safety, welfare and property, and the responsibility to exercise professional courtesy to fellow professionals and the responsibility to act in a dignified manner.

Professionals have the duty and responsibility to maintain ethical and technical standards designed to ensure an adequate level of service to their clients. Adherence to such standards provides a basis for adequate, competent, and timely service. Constant changes in our society are reflected in changes to what an adequate level of service might entail. For this reason, professionals must continually educate themselves to acquire new skills as needed and to refresh and reinforce their knowledge of existing skills.

Professionals are expected to safeguard the public against dangers that may occur as a result of their services or their participation in a project. This includes warning the proper authorities when others have breached proper ethical and technical standards. Professionals are also expected to safeguard the interests of third parties who might be foreseeably damaged at some
future date by actions undertaken by the professional.

Failure to comply with either of the above may be defined as a breach of the “standard of care.” This standard does not mean that the client or the public is entitled to perfection from the professional, but only that they are due a responsible exercise of care when the professional performs his or her services. This standard of care is often defined as “what a reasonable and prudent person would do under similar circumstances”. The time element is often critical in standard of care cases, since prudent practice changes over time.

Professional liability is normally subject to the standard of care at the time of services.

Proof of negligence in standard of care cases has often relied on the expert testimony of other professionals. Today, many states are turning to “minimum standards” written into state statutes or regulations to help prosecute such cases. State and/or national codes of conduct may also be used as a basis for tort action, but while codes of professional conduct may extol idealistic goals of performance, the law only requires ordinariness of practice by the professional. However, it is better to err on the side of caution and safety if one really wishes to avoid liability. Aspiration for excellence along with a reputation for quality work can be excellent defenses against possible charges of negligence.

Professionals must recognize that privileges and responsibilities extend not only to themselves, but to all other professionals as well. Professionals must respect competent practice by other professionals as well as true differences of professional opinion. Professionals must act in a dignified manner when conducting their practice, including interaction with clients, other professionals, employees, and the general public.

The questioning of surveying as a profession arises from time to time. Confusion may sometimes be a result of the misuse of the term “surveyor.” Technicians and aides working for surveyors are often referred to as surveyors (and often refer to themselves as surveyors). This is not the case in other professions. For example, orderlies performing technical tasks under the supervision of doctors do not call themselves “doctors.”

Although in past times surveyors have ordinarily been learned men, some (such as George Washington and Abraham Lincoln) going on to bigger and better things, surveying was not always a full-time profession. In many small communities, surveying needs were often assumed by locals who had some requisite knowledge and skill at performing the necessary services. As communities grew, the need for full time surveyors arose.

Surveyors were regulated in the United States earlier than civil engineers, yet the licensing of civil engineers seems to somehow have absorbed the practice of surveying into civil engineering in many states for many years. The separation of the practices of surveying and civil engineering is still not complete -- with many regional differences -- but recognition of the differing skill and knowledge has once again led to emergence of surveying as a separate profession.

The problem of whether or not surveying is a profession today has been addressed in many jurisdictions by specifically titling professional surveyors as “Professional Surveyors” (PS), “Registered Surveyors” (RS), or “Licensed Surveyors” (LS) under state statutes. These state statutes often
define the minimal qualifications of professional or registered surveyor as well as the act of “surveying” or “land surveying” itself. The definitions of surveying and the qualifications of surveyors vary from state to state.

Licensing by itself is not a sign of professionalism. Licensing is required only in cases where the state finds it necessary to protect the public health, safety, and welfare. After all, having a driver’s license does not make one a professional driver. However, language in state licensing statutes explicitly stating that surveying is a profession gives great weight to the professional standing of surveying. Most states use the word “Professional” in their surveying statutes and/or in the name of their registration boards.

However, not all surveyors become professional through licensing or registration. Many states do recognize the professional stature of unlicensed surveyors working for the federal government, over whom states have no jurisdiction. Many other surveyors are educated in, and practice in, areas that do not currently fall under the definitions of surveying found in state statutes, such as geodetic surveying. However, they have attained sufficient knowledge and experience to qualify as experts in their specialties.

Likewise, the federal government recognizes surveying as a profession. The Department of Labor’s Directory of Occupational Titles, defines a surveyor as one who:

- Plans, organizes, and directs work of one or more survey parties engaged in surveying earth's surface to determine precise location and measurements of points, elevations, lines, areas, and contours for construction, map-making, land division, titles, mining or other purposes. Researches previous survey evidence, maps, deeds, physical evidence, and other records to obtain data needed for surveys. Develops new data from photogrammetric records. Determines methods and procedures for establishing or reestablishing survey control. Keeps accurate notes, records, and sketches to describe and certify work performed. Coordinates findings with work of engineering and architectural personnel, clients, and others concerned with project. Assumes legal responsibility for work and is licensed by state.

Surveyors are further recognized as professionals by the federal government under the terms of the Brooks Act, which requires the federal government to negotiate contracts with professionals, rather than request competitive bidding.

Fitting professional characteristics

We can also apply the six characteristics listed previously to the practice of surveying to determine its professional status.

1) Surveying has a strong theoretical base in several technical areas. First, measurement theory provides a basis for determining and understanding measurements and measurement errors. Boundary case law provides an understanding of the legal principles needed to properly determine property lines. Understandings of the earth’s shape provide methods of continually refining measurements on or near the earth’s surface. This theoretical base is now collected, preserved, and expanded by approximately twenty surveying programs at universities and colleges across the United States. Similar university programs exist in many other countries.

2) Surveying provides an indispensable and beneficial social need. In our society people need to know where their property lines are in order to build improvements that
enhance their quality of life. They need accurate maps to provide for the design and construction of those improvements. And they need reliance that services so rendered will stand up in disputes before the courts.

3) The surveyor must provide the client not only with what they want, but also with what they need. The surveyor is obligated to determine the needs of the client and to be sure that they are met. This may mean educating the client as to what his or her actual needs are. The surveyor must also determine the best way to achieve the client’s needs. The surveyor, not the client, may become liable if those needs are not fulfilled.

4) As mentioned above, all fifty states as well as U.S. territories regulate surveying. This is done through professional boards of registration. Some states have individual boards for surveyors while other states may combine the activities of engineering and/or architecture with surveying. Registration of surveyors in the U.S. began in California in 1891. The last state to regulate surveying was New Hampshire in 1969 (Bender, 1987).

5) There is a clear and distinct surveying community. Surveying associations began forming in Europe in the late 1800s. The International Federation of Surveyors (FIG) was formed in 1878. The American Society of Civil Engineers (ASCE) formed a Surveying and Mapping division in 1926. The American Congress on Surveying and Mapping was formed in 1941. Today every state has an active state surveying society, which holds seminars and has annual meetings for continuing education and discussion of items related to surveying. Most state surveying societies also have local chapters that meet monthly to keep up to date with local, state, and national issues related to surveying.

6) Codes of conduct are prevalent in surveying through national and state professional societies as well as being a part of the surveying regulations or statutes of many states.

The final measure of surveying professionalism is what the surveyor sells. He or she does not sell a product, but a service. That service is delivered in the form of an expert opinion which may include a design concept. Products, such as plats or legal descriptions, generated as a result of the expert opinion or design concept are merely a part of this service and are not considered goods under the Uniform Commercial Code. A surveyor provides professional opinions to a client, not goods or services to a customer.

Surveying Standard of Care

Surveying, as with any technology-based profession, is not based on a standard of perfection. Nor should the surveyor guarantee the correctness of his or her work. But the surveyor is obligated to exercise the standard of care appropriate for the client’s need. Failure to do so may result in court and/or board action against the surveyor.

The surveyor, as a professional, must give the client or employer what he or she needs not merely what he or she asks for. The special knowledge and skill of the professional override the competency of the layman in determining what those needs are. Should a surveyor only give the client or employer what he or she asks for, the client or employer may find he or she is damaged and can begin tort action against the surveyor. Oftentimes, a client or employer must be educated or informed as to what they need. The client who asks for “just a survey of my deed” may have grounds to sue the surveyor who ignores additional evidence showing the actual location of property lines.
The damage caused by the negligence need not occur to the client or employer to be collectible under the standard of care doctrine. Any future landowner that becomes affected by a fault in the survey can sue for damages.

It is important to note that surveying a line in a “wrong” place may not in itself constitute negligence. If the line was placed by the surveyor using his or her opinion based on the best available evidence and good surveying practice, then there was no breach of professional responsibility. The duty of the surveyor is not to certify his or her work as correct, but to merely perform as best as possible. After all, when surveyors cannot professionally agree as to where a boundary lies then the courts decide where the boundary is located under American law.

**ETHICS**  
**Definition**

Ethical practice, simply put, is doing the right thing at the right time. Ethics are different from morals in that ethics involve behavior while morals imply values or beliefs. Ethics are morals put into action. Morals define who you are; ethics get you what you want. Most of what we consider ethical behavior is based on the values of character, fairness, honesty, impartiality, and justice.

Actions must often be put into a context before they can be judged as ethical or not. It is sometimes difficult to understand if something is ethical unless more about a situation is known. Consider the following conversations among coworkers about the same situation:

1) “It would take a thousand dollars for me to place the boundary where the client wanted.”

2) “I took a thousand dollars from the client to put the boundary where she wanted it.”

3) “The client wanted to subdivide her lot by running the boundary through a swamp. This cost a thousand dollars.”

The first statement sets a value and does not signify an action and is therefore not a possible violation of ethics. The second statement shows an action and implies the possible violation of ethical practice (the taking of a bribe). The third statement sets

**Minimum standards**

Many states now have minimum standards for performing surveys written into state surveying statutes or board regulations. Other states have adopted minimum standards through state surveying societies. This is motivated by the need for uniformity as well as a need for a tool to bring action against incompetent surveyors (Buckner, 1991). Minimum standards set in writing the standard of care to which the surveyor is expected to perform. Those performing below the minimum standard are subject to action from the board and may be held *ipso facto* liable for damages arising from any survey which fails to meet minimum standards.

Many believe that minimum standards have become necessary to ensure the integrity of surveying practice. Others believe that minimum standards are unnecessary and intrude upon a professional’s right to express a professional opinion. State boards of registration have found minimum standards necessary in this litigious age to protect them from lawsuits by practitioners contesting board disciplinary actions. However, one feels about minimum standards, when they are the law, surveyors are legally bound to follow them. However, any surveyor who practices only at the level required by minimum standards is not striving to better the profession and may be guilty of unethical behavior.
the problem in context. The thousand dollars was not a bribe to place the boundary in an improper location, but to compensate the surveyor for the difficulty of his or her services.

Ethics are a vital part of professionalism. They set the limits of actions a professional may take with a client, with the public, and with other professionals. Professional ethics are different from everyday ethics, in that they need only be followed when one is practicing the profession. But any behavior can form the basis of how professionals, when acting in their professional capacity, are judged by clients, by the public, and by other professionals.

Legal justice and moral justice do not always coincide. Ethics differ from liability in that tort liability requires actual damages to occur because of a person’s misconduct or negligence. Ethics define bad behavior, even if no damages have occurred. Conversely, if a client or a third party has suffered damages, yet the surveyor conducted his or her duties faithfully, e.g., there was no negligence or breach of duty on the surveyor’s part; there are no grounds for tort liability. 15

**Ethics, the Virtues, and Skepticism about Morality**

Ordinary, commonsense moral thinking follows Aristotle in judging people “ethical” just in case they have a disposition to perform morally right acts for the right reasons. To have the virtue of honesty, for example, you have to be the sort of person who finds lying shameful and would not do it even if you could get away with it. There are a number of different virtues that one must possess to be a good person, including honesty, integrity, fidelity, courage, justice, temperance, prudence, charity or beneficence, respect for the law, loyalty to friends and country, tolerance, and fairness.

Someone who possesses these virtues will not reason or act like a pure egoist (“I’ll do it only if I stand to benefit from it”). To have the virtues of benevolence, honesty, and justice is to be unwilling to engage in an act of lying, cheating, or stealing, even if one could get away with it and one would, on balance, gain from doing it.

Are the virtues just mentioned the only ones? Isn’t faith or piety a virtue, as many theologians have contended? And what exactly do any of the virtues require of us? Does justice require that we tax the rich to aid the poor? Does loyalty to friends require that one help a terminally ill friend who wants to commit suicide to do so?

Obviously different people, committed to different moral principles, will answer these questions differently. Judeo-Christian ethics and “secular humanism” give opposing answers to the question about helping the friend who wants to commit suicide. The fact that several different answers to these questions have been defended leads some people to accept a radical subjectivism or skepticism about morality. According to them, morality is just a matter of opinion or taste; any moral judgment is as valid, true, or reasonable as its contrary.

Here is not the place to canvass the arguments for and against moral subjectivism. We would, however, like to make three observations about it and its defenders. First, most of the people who claim to accept it would feel moral outrage and not just anger if they or their loved ones were mugged. They would claim that the perpetrator deserves to punished, forgetting to add “but of course that’s only my opinion, and the opinion of those who think that muggers should be rewarded is really just as valid as mine.” Second, moral disagreement among us has its limits. No one seriously thinks that society should reward rather than punish muggers, or that it’s morally okay for majorities to enslave or commit genocide.
against hated minorities. (Nor is it easy to find anyone in a surveying ethics course who sincerely thinks that there is nothing wrong with a surveyor taking a bribe from a client to put boundaries where he or she wants them). In many cases the main difficulty that morality presents is not in figuring out what its requirements are, but in resisting the temptation to do what we all agree is wrong. Third, the allure of moral skepticism may be due in part to wishful thinking. We would like to believe that it is true, because if it were, that would excuse us from the difficult and often painstaking task of trying to figure out what the correct values are and acting in accordance with them. Also, believing in moral skepticism will protect our self-esteem from any damage that the moral criticism of our actions by others might do it.

The Good and the Ethical Surveyor

Our concern here is not with the moral virtues that any human being must possess in order to be a morally good person, but rather with what are the virtues that a surveyor must possess to be an “ethical” surveyor. We use the word “ethical” rather than “good” here, because the criteria for being a good surveyor are not moral at all. When we say that someone is a “good” surveyor, or doctor, or policeman/policewoman, or burglar, what we mean is that they are good at what they do. They are competent, proficient, or skilled, possessing the nonmoral virtues that define excellence in their respective roles or disciplines. A good burglar is someone who is good at burgling, and anyone who engages in that activity, competently or not, is an unethical person. Obviously the same isn’t true of surveying; it’s possible to be both a good and an ethical surveyor. But it’s also possible to be a good surveyor without being an ethical one. We can imagine a surveyor who always takes accurate measurements, seldom makes mistakes, and does high quality work, but who also overcharges his clients, takes bribes, or engages in unfair competitive practices against other surveyors. The converse—being an ethical surveyor, without being an especially good one—also seems possible, at least up to a point. But perhaps it is more common for the nonmoral virtues that constitute a good surveyor and the moral ones that constitute ethical ones to go together. Certainly, a surveyor who does shoddy work because he or she is lazy and indifferent to his or her client’s needs is a poor surveyor because he or she is an unethical one.

The Ethical Professional and the Ethical Businessman

The surveyor’s moral duties and responsibilities can be divided into three categories. There are those owed to the client; those owed to the profession and other surveyors, and those owed to society. We will delve into these responsibilities in more detail in the next chapter. As we will see when we get there, many of the surveyor’s responsibilities stem from the fact that surveying is a profession, not just a business.

Consider, for example, that the surveyor has a duty to his or her client to be not only honest, but open as well. People sometimes interpret honesty narrowly, as forbidding only deception—that is, relating information which one knows is inaccurate, with the intention of misleading others. If honesty is so interpreted, then there is nothing dishonest or deceptive about a surveyor withholding from (or failing to divulge to) a client information that would affect the client’s decision about whether to retain the surveyor’s services. But there is clearly something unethical about it. Whether we call it dishonest or manipulative or a failure to be open really doesn’t matter.
The fact that a surveyor has a duty to be open as well as honest (in the narrow sense) is something that he or she has in common with a doctor and that differentiates him or her from a used car salesperson. The salesperson has no duty to inform a customer that he or she would probably be better off with a more reliable, less expensive car than a less reliable, more expensive one. The salesperson has a duty not to lie, but he or she doesn’t have a duty to share with the customer everything that he or she knows about the strengths and weakness of cars, if doing so would cost the salesperson some business. The salesperson can try to sell the customer the most expensive car on the lot. (Not everyone agrees that it is permissible for the salesperson not to be completely open. The great 18th century German moral philosopher Immanuel Kant famously held that all of us—whether or not we are professionals—have a duty to treat other persons always as “ends” and never as “mere means.” He seems to have thought that one violates this duty if one withholds information from others, as a means of controlling or manipulating them.) A doctor, by contrast, would be acting unethically if he or she provided a sick patient with more expensive treatment X rather than less expensive but equally effective treatment Y, if the patient requests X, is unaware of Y, and the doctor fails to inform the patient of Y. The doctor has a duty to inform the patient of all of his or her treatment options, even if doing so would lower the doctor’s profits.

Why this difference between the car salesperson and the doctor? Because the salesperson is merely a businessperson, while the doctor is a professional (in addition to being a businessperson). All businesspersons have a duty not to deceive their customers, but professionals have a duty to be open with them as well. The surveyor as a professional has a duty to provide his or her client with the services that he or she, as a professional knows his or her client needs. If the client wants more than he or she needs, then it is the surveyor’s professional responsibility to educate the client, even if that means that the surveyor will lose some business.

Some other differences between a mere business and a profession is that professionals (e.g. doctors, lawyers, psychiatrists, financial consultants, surveyors) have a duty to respect client confidentiality, whereas businesspersons usually don’t have one, unless they contractually agree with their customers not to disclose information about them to others. Moreover, professionals have a duty to avoid conflicts of interest and the appearance of impropriety, whereas businesspersons usually do not.

Why does society hold professionals to higher ethical standards than businesspersons who aren’t professionals? Because the standards—and holding professionals accountable for violating them—encourage the client to trust the professional and his or her advice. Society is better served if patients can trust that doctors will put their interests in good health and affordable care ahead of doctors’ interests in making money. Why? The doctor is an expert about technical matters of which the patient has little understanding and this expertise gives the doctor the ability to take advantage of the patient. Patients need some assurance that doctors will not do this, or else they will hesitate to follow doctors’ advice. The consequence of such hesitation would be a decline in public health, which society obviously has an interest in preventing.

So, society imposes higher ethical standards on the professional for paternalistic reasons, that is, to safeguard the interests of a client

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Land Surveyor’s Ethics
unable to look out for himself or herself. It doesn’t impose the same standards on car salespersons, because it assumes that car buyers do not need any great technical expertise to make reasonably intelligent decisions about which cars to buy. But it does impose them on surveyors; because it rightly assumes that surveying is more like medicine than buying and selling cars.

**Why Be Ethical?**

“Granting that all of this is true, why should I as a surveyor always try to live up to the higher ethical standards that society imposes on me as a professional? What’s in it for me?” Of course, the very same sort of question could be raised about the requirements that morality imposes on everyone. One might wonder “Why should I be honest with others? Why shouldn’t I be dishonest with them or take advantage of them over in other ways, if I can get away with it and it would profit me to do so?”

The answer to these questions has two parts. First, it is wrong to treat others in ways that you would object to if the roles were reversed (this is the point of the Golden Rule), and you should avoid doing what’s morally wrong, even if that involves some sacrifice on your part. Second, it probably is not in your long-term, rational self-interest to have the policy of taking advantage of others whenever you think you could get away with it. Instead, it’s in your best interests to cultivate all of the virtues in your character. If we examine their behavior over a very short period of time, we will find instances when unjust people do better for themselves than just people. But if we examine their behavior over their entire lifetimes, we probably find that just people tend to do better for themselves—having more friends and loved ones, spending less time in jail, not having to worry about the people whom they’ve victimized wanting revenge, etc.—than unjust people.

The same two-part answer can be given to any surveyor who asks why he or she should abide by the higher ethical standards that society imposes on him or her as a professional. First, if he or she violates them, then he or she is acting wrongly, because he or she is taking advantage of his or her client’s reasonable expectation that surveyors will behave like professionals. (Use golden-rule reasoning here: if he or she were the patient of a doctor or client of a financial advisor who acted similarly, surely, he or she would object that they had taken advantage of his or her reasonable expectation that they would behave like the professionals they are supposed to be.) The surveyor should not unfairly take advantage of others because to do so is wrong. Second, such behavior is unlikely to be in his or her long-term self-interest. Surveyors who habitually engage in unethical behavior eventually get caught. Sometimes state boards suspend their licenses. Usually they get a reputation for being untrustworthy and that reputation drives away some potential clients. One might think to himself or herself “I’ll only do it this one time; and the chances of getting caught if I only do it once are remote.” But this thought is dangerous. People who get away with unethical behavior once tend to find it easier and more tempting to repeat it in the future. It becomes like a drug. (How many heroin users are there who’ve said, “I’ll only shoot up this one time” and then resisted the temptation to do it again?) That’s why it’s in one’s best interests to be uncompromising in one’s refusal to engage in unethical behavior—that is, to have the virtues that define the ethical professional.

**Codes of Professional Conduct**

Codes of conduct (also called codes of ethics or canons of ethics) can be promulgated by any organization, not just professionals. Boy Scouts have a code of...
conduct. Many business companies have codes of conduct. Codes of conduct serve as guidelines; they define, in writing, the obligations and the limits of actions that members of the organization are expected to follow. Codes of conduct, to be meaningful, must also reflect how society expects members of that organization to act. To command respect by professionals the codes must contain rules that are realistic and achievable in everyday business practices and have the full sanction of the profession behind them.

The professions must enforce codes of conduct, since the public lacks the expertise to recognize the potential harms of bad behavior. When codes are written into state surveying statutes or regulations, enforcement comes under the board of registration. Codes of conduct that are ignored with impunity are ineffective and degrade the profession, rather than lift it, in the eyes of the public. If a profession is to claim special privileges in society, it must show responsibility and that it is willing to live by standards higher than those required by law.

The obligations and limits in a specific code of conduct often change with time due to changes within organizations or to changes within society. For example, advertising was once banned by most professional codes of conduct. However, courts have since decided that such bans are a restriction of free trade and codes of conduct have had to be changed to allow advertising. Most codes of conduct now provide guidelines for acceptable advertising within the profession.

Professional codes of conduct serve as guidelines for behavior of professionals on an everyday basis. They explicitly state the responsibilities to which the profession has committed in return for professional recognition. But a code of conduct also has an implied right. A surveyor who has diligently followed a code of conduct should expect full support from both professional societies and state boards of registration against frivolous actions brought by clients or third parties.

Please reference the on the following page the Model Rules of Professional Conduct written by the National Society of Professional Surveyors.
**NSPS: Ethics Creed & Canons**

As a Professional Surveyor, I dedicate my professional knowledge and skills to the advancement and betterment of human welfare.

I pledge:

- To give the utmost of performance;
- To participate in none but honest enterprise;
- To live and work according to the laws of humankind and the highest standards of professional conduct;
- To place service before profit, honor and standing of the profession before personal advantage, and the public welfare above all other considerations;
- In humility and with need for Divine Guidance, I make this pledge.

**Canon 1.**
A Professional Surveyor should refrain from conduct that is detrimental to the public.

**Canon 2.**
A Professional Surveyor should abide by the rules and regulations pertaining to the practice of surveying within the licensing jurisdiction.

**Canon 3.**
A Professional Surveyor should accept assignments only in one's area of professional competence and expertise.

**Canon 4.**
A Professional Surveyor should develop and communicate a professional analysis and opinion without bias or personal interest.

**Canon 5.**
A Professional Surveyor should maintain the confidential nature of the surveyor-client relationship.

**Canon 6.**
A Professional Surveyor should use care to avoid advertising or solicitation that is misleading or otherwise contrary to the public interest.

**Canon 7.**
A Professional Surveyor should maintain professional integrity when dealing with members of other professions.
TENETS OF SURVEYING ETHICS

Understanding or interpreting a code of conduct can be confusing at times, especially when the reasons for an element of the code are not clearly understood. We find that if we take some time to study the reasons for a particular code, understanding how to use it can become much easier and simpler. The tenets, or principles, of codes of conduct for surveying can be categorized in nine areas:

1) Administration;
2) Communication;
3) Compensation;
4) Competition;
5) Interaction;
6) Knowledge;
7) Resolution;
8) Service; and
9) Trepidation.

We discuss each of these categories below.

Administration

Administration, or virtuous administration, codes require practitioners to operate their businesses, conduct their professional duties, and carry out employment responsibilities in a dignified, moral, proper, honest, straightforward, and decent manner. The practitioner shall not:

1) Seek or perform services or operate a business in a manner that is derogatory to the dignity or respect of the profession or otherwise discredits the reputation of the profession. This includes a requirement to take action when silence or inaction would bring discredit to the profession.
2) Operate their businesses in such a manner that improper or inadequate supervision does or will likely occur. Improper supervision includes:
   a) Establishing a branch office which is managed or supervised by and entirely staffed by employees or partners that are not licensed and without day to day contact by licensed surveyors;
   b) Scheduling vacations, leaves, or absences from the office at such times and duration that employees working on ongoing operations lack adequate, actual, and personal supervision during day to day operations; and
   c) The number of personnel or crews in combination with the diversity, distance, or scope of services currently provided do not allow reasonable, competent, and adequate review of the services by supervisory licensed personnel.
3) Loan their name to, organize, operate, or remain employed in a business, firm, or venture offering services to the public where decisions: i) requiring professional licensing, ii) governed by professional standards, or iii) covered by professional ethics have been, could be, or are made by owners, partners, operators, principals, etc., without the review and absolute veto power of licensed personnel. Professional decisions include, but are not limited to:
   a) Depth and scope of record research;
   b) Duration, scope, and technical standards used for fieldwork;
   c) Decisions affecting the location of boundaries and property rights;
   d) The scope, depth, quality, and content of work products; and
   e) Matters that would reflect adversely on the profession or the integrity of the licensed practitioner such as advertising, communications with clients, fee structuring, and scope of services.
In determining the application of this type of code to a particular operation, fee allocation to non-licensed personnel, responsibility or authority given under partnership agreements or bylaws, employment contracts, wage payroll statements, etc., should be considered. However, form should not be allowed to substitute for substance.

**While avoiding the above, the practitioner shall:**

4) Take steps to prevent unprofessional or improper conduct by others who through their continuous conduct, intentionally or negligently fail to provide effective, timely, and competent professional services. Continuous conduct is any unprofessional or improper conduct that:

   a) Has common and similar attributes for different clients;
   b) Occurs more times than good conscience would suggest is possible in subsequent service offerings;
   c) Occurs often enough to suggest to a reasonable person that there is intentional or negligent ignorance of professional standards of practice for prior services, or
   d) Is allowed to continue without correction, notice to the client, or steps to contain damage for any single service upon being informed of the misconduct.

5) Give proper credit and honors for work done and ideas developed by subordinates, employees, and others and not seek credit for designs and ideas not their own.

**Communication**

The practitioner shall cause or encourage complete, clear, accurate, objective, truthful, and timely communications between themselves and the client, or prospective client, regarding the services sought and rendered. The basic components of this communication are:

1) “Cause and encourage” means taking responsibility for initiating communication reasonably expected and required for professional services and to nourish questions and responses from clients and third parties by listening and timely responding to reasonable requests or questions.

2) Complete communication means the practitioner shall provide sufficient information so that the client or prospective client is appraised of costs, problems, hazards, and opinions. This shall include sufficient information, facts, materials, etc., that the client:

   a) Will not be reasonably led to a fallacious conclusion;
   b) Can act in an informed manner; and
   c) Has knowledge of pertinent matters. Matters include, but are not limited to, facts, information, opinions, evidence, etc.:

      i) affecting fee basis, time constraints, or deviation from accepted standards in preparing the agreement or modifications to the agreement,
      ii) affecting a cloud or slander of the client’s title or reputation,
      iii) affecting the cost and expense of the services rendered,
      iv) that may cause the delay or the prevention of the timely completion of services,
      v) that may cause potential conflicts as the practitioners should or does become aware of them,
      vi) that may sway or appear to sway the practitioner’s professional judgment (e.g., evidence),
vii) that involve risk, including the nature, extent of risks to title, marketability, environment, third party harm, and prospective use, or
viii) things that the practitioner is aware or suspects the client has, could, or may have come to believe or rely upon, even mistakenly.

3) Clear communication means the practitioner shall communicate in a manner that the client, or their agent, attorney, etc., will understand and be able to comprehend significant information and aspects of the service.

4) Accurate communication requires the practitioner to provide information that, to the best of the practitioner’s knowledge and belief, is correct and may be relied upon considering any express caveats and concerns. Accurate communication also requires the practitioner to cause relevant or important oral communication be reduced to writing as soon as practicable in order to avoid misunderstandings or losing the gist of the information or understanding later.

5) Objective communication means the practitioner shall, when possible, provide unbiased information or information not tainted by personal (as opposed to professional) motive or opinion.

6) Truthful communication means the practitioner will provide realistic and honest appraisal of the situation relying on a reasonable application of the practitioner’s knowledge, skill, experience, and education founded upon information and evidence normally relied upon by similarly situated practitioners.

7) Timely communication requires that the practitioner communicate without undue delay so that the client is not unnecessarily and unexpectedly hindered in their duties or obligations as a result of the practitioner’s response. Unnecessary delay is assumed when the practitioner has failed to adequately respond or communicate by the:

   a) Date or time established by the terms in the contract or agreement; or
   b) A reasonable time period.

8) The scope, depth, and contents of the communication shall be commensurate with:

   a) The practitioner’s knowledge, skill, experience, and education; and
   b) The terms of the contract, agreement, or understanding with the client.

**Compensation**

Practitioners shall seek and accept only equitable and just compensation for services rendered for the client and the practitioner’s compensation shall not exceed a reasonable amount for the services provided the client.

1) Unreasonable compensation is defined as an amount that would cause a practitioner of ordinary prudence to be left with a definite and firm conviction that the compensation is not deserved.

2) In considering the reasonableness of the fee, the practitioner shall consider:

   a) The time and labor involved;
   b) The novelty of the services;
   c) The skill prerequisite to perform the services properly;
   d) The likelihood (apparent to the client) that this employment will preclude other employment;
   e) The fee customarily charged in the locality for similar services;
   f) The responsibility assumed;
   g) The potential liability that will result;
   h) The scope and difficulty of the services requested;
i) Time limitations imposed by the client or circumstances;

j) The nature and length of the professional relationship with the client;

k) The experience, reputation, and ability of the practitioner;

l) The fee arrangements (e.g., lump sum, hourly, percentage, etc.); and

m) Written evidence of an agreement.

3) Services rendered or provided mean:

a) Work completed on behalf of the client according to the terms of the contract or agreement;

b) Work completed on behalf of the client that is ordinarily done on behalf of a client and is not expressly excluded by contract;

c) Work to be completed under agreement or contract and not performed provided that money paid by the client in contemplation of the work (e.g., retainer or advance payment) is segregated from practitioner’s funds until such time as the money so retained has been earned according to the contract.

4) Compensation should be sufficient as to not create a financial incentive to perform substandard work.

Competition

Practitioners shall engage in dignified and sincere competition. Practitioners shall not use business practices in competing with other practitioners that denigrate the profession or are improper, mislead, or unfairly coerce favorable business.

1) Practices that do not denigrate the profession are practices that a reasonable client or another practitioner under similar circumstances would see and understand to be dignified, sincere, and accurate. In this regard, the practitioner shall:

a) Accurately state their education, experience, and licenses held;

b) Honestly communicate their ability and limitations to carry out the services sought or needed by the client; and

c) Not state or imply that they are able to influence improperly or upon irrelevant grounds any public body or person.

2) Practices that are improper include practices that are illegal or give the practitioner and unfair cost advantage, such as:

a) Obtaining and using insider information,

b) Using public or employer equipment, supplies, time, etc., for personal business;

c) Collusive pricing;

d) Deceptive advertising and/or solicitation; and

e) Underbidding to obtain work then overcharging for changes or extra work sought.

3) Practices that mislead include:

a) Deceptive practices or information;

b) Omitting a material fact necessary to keep statements from being misleading or intended or likely to create unreasonable expectations;

c) Misrepresentation or exaggeration of prior assignments, degree of responsibility, education, skills, experience, licenses, professional qualifications, honors, abilities, etc.;

d) Allowing false but laudatory statements by others to go uncorrected when discovered; and

e) Statements containing material misrepresentation of fact intended or
likely to create unreasonable expectations, such as:
   i) prediction of future success, and
   ii) puffery, showmanship, or self-laudatory statements.

4) “Unfairly coerce” means behavior on the part of the practitioner or their agent that compels favorable business toward the practitioner out of fear, loss of friendship, expectation of benefits, or gratitude.

### Knowledge

A practitioner shall keep their knowledge current, sufficient, and applicable to normal and reasonable professional practice and stand ready to be able to apply that knowledge for the client’s benefit.

1) Knowledge encompasses an understanding and ability to apply subject matter required for competent services to include, but not limited to:
   a) Technical subjects;
   b) Business matters; and
   c) Communication.

2) Current means a level of knowledge on matters deemed necessary to practice that equal or exceed the scope and depth of knowledge similarly situated practitioners under similar circumstances have and legally provide in their practice. Current knowledge is evidenced by (but not proof of):
   a) Past and continuing practice above reproach;
   b) Continuing attendance and participation in formal continuing education activities;
   c) Participation, good standing, and membership in professional or technical societies that periodically publish educational material, promote seminars or meetings featuring speakers on topics within the scope of practice, or engage in public education and service on behalf of the profession;
d) Self-study as evidenced by speaking before or writings to be read by other practitioners; or
e) Meeting mandated continuing education requirements for renewal of licensure.

3) “Stand ready to apply” requires the practitioner to apply the full extent of their ability on the client’s behalf unless limited by contract, law, or by other obligations made known to the client.

**Resolution**

The practitioner shall act with unbiased, impartial and chaste resolution, the practitioner shall avoid conflicting interest or the appearance of a conflicting interest and shall fully inform the client of all potential for conflicting interests.

1) A conflict of interest is a situation where the practitioner’s analysis, opinion, actions, or testimony are impinged, changed, modified, or swayed from what another competent practitioner with the same information would more likely not have done or do if the circumstances forming the basis for the conflict were absent.

2) The appearance of a conflict of interest is any situation where a reasonable person with knowledge of all the facts would suspect that the practitioner’s analysis, opinion, actions, or testimony could reasonably be impinged, changed, modified, or swayed -- regardless of the actual impact.

3) Conflict of interest may arise by one or more of the following circumstances:
   a) Competing, differing, or dissenting interests;
   b) Personal gain other than fees or remuneration flowing from the client for providing services agreed upon; or
c) Prior association, friendship, service, family ties, etc., with a party whose interest may be adverse to the client’s interest.

4) A potential (as opposed to actual) conflict of interest may be alleviated in whole or in part by:
   a) Full disclosure to the client of the potential conflict;
   b) Disclosure of all reasonable and probable ramifications should an actual conflict arise;
c) Permission from all parties that could suffer losses occasioned by a conflict in interest and its ramifications; and
d) Prompt withdrawal when an actual conflict in interest arises.

**Service**

A practitioner shall be trustworthy in deeds and act for the client diligently, faithfully, and with principles.

1) The practitioner shall only accept employment if the practitioner is willing and able to perform the work in a professional manner:
   a) “Willing” means to have both the motivation and desire to accomplish the services;
   b) “Able” means to have the time, knowledge, skill, and resources necessary to accomplish the services; and
   c) “Professional manner” means to give the matter unbiased attention, pursue the matter diligently, and use the best judgment and dedication to pursue the timely completion of the services.

2) The practitioner shall render services in a such manner that the client’s legitimate rights are protected, and the neighbor’s or public’s rights are not harmed. In this regard, the practitioner shall:
a) Render a defensible professional opinion on the location of the record boundary and its relation with the ownership and possession boundaries; or
b) Provide a design prepared and communicated in a manner and form that uses good surveying principles, that will facilitate government approval, that will help in the profitable sale of units, and is acceptable as a permanent public record.

3) The practitioner shall take steps necessary to acquaint, acquire, or otherwise apply special skills, knowledge, and experience necessary to provide competent service to a client. These steps include, but are not limited to:
   a) A learning period sufficient to acquire the special skills;
   b) Seeking appropriate advice from better qualified or specialty practitioners; or
   c) Retaining or employing qualified or specialty practitioners on the client’s behalf.

4) The practitioner shall not use confidences of the client to the disadvantage of the client or to advantage themselves.
   a) Confidences include, but are not limited to:
      i) information of commercial interest to others,
      ii) inchoate possessory rights that have or could ripen to ownership in the client’s favor,
      iii) development or proposed transactions that could affect the market value of the property,
      iv) the advantages of ownership of nearby property, or
      v) the availability of obtaining, quieting, or resurrecting title at less than fair market value;
   b) Confidences do not include:
      i) information found or available from the public record, archives, or depositories,
      ii) information the client has expressly given the practitioner permission to use and disclose provided the information is disclosed or used under the conditions stated,
      iii) information that is available or owned by the practitioner as designated by the contract or normal standards of professional practice,
      iv) information that if not disclosed would initiate or encourage illegal activity, and
      v) information that if not disclosed would or could present physical harm to persons.

5) The practitioner shall take responsibility for verifying the accuracy and completeness of another practitioner’s work products before relying on them or fully appraise the client of potential ramifications flowing from the failure to verify the accuracy or completeness of the information.

6) The practitioner shall not accept outside employment providing professional services within the scope offered by their employer without notifying and, in appropriate cases, seeking their employer’s permission.

7) The practitioner shall not attract and hire an employee from another practitioner using false or misleading tactics or pretenses.

The practitioner shall act with integrity, candor, and honesty in trepidation of loss of his or her professional stature. The practitioner shall take steps to prevent
improper conduct; correct mistakes when they are made; and prevent likely mistakes from occurring.

1) The practitioner shall withdraw from services when:

   a) Requested by the client;

   b) The practitioner’s:

      i) personal morals or values conflict with the client’s demands,

      ii) other commitments require time and resources beyond those available, or

      iii) mental or physical ability degrades to a level that further service for that client would be inconsistent with achieving minimum acceptable standards of professional practice;

   c) That service may encourage:

      i) illegal activity,

      ii) activity detrimental to the public’s or a person’s physical health, or

      iii) creating or serving to aid in creating an environmental hazard;

   d) Where it appears that by continuing services the practitioner’s integrity, candor, honesty, or opinion will conflict with the client’s interests or intentions -- often arising when:

      i) The client seeks incompatible results (e.g., “I have always been told the boundary was . . .” or “I just want what the deed says”),

      ii) the client pursues tactics that are improper for practitioner involvement,

      iii) the client has interests that are adverse to the practitioner’s professional obligations,

   iv) continued employment will result in violation of professional rules of conduct.

2) The practitioner shall take steps to prevent circumstances that would encourage improper conduct. In particular, the practitioner shall not:

   a) Take on partners that may influence or otherwise cause the practitioner to provide unbiased or unprofessional service;

   b) Allow employees to practice without adequate supervision or sufficient checks that will ensure competent professional service; or

   c) Tender as their own work, work products, or portions thereof that the practitioner has not taken responsible charge of or made reasonable attempts to ensure are accurate and complete.

3) The practitioner shall take the proper steps necessary to prevent or terminate the practices of others that pose a substantial risk or harm to the public. In determining if the conduct poses a substantial risk or harm to the public, the practitioner shall consider:

   a) Substantial violation of published or acceptable standards;

   b) Participation in illegal activities;

   c) Participation in conduct involving fraud, dishonesty, deceit, or misrepresentation; and

   d) Memory loss, mental degradation, abnormal psychiatric behavior, etc., caused or influenced by drugs, alcohol, age, disease, etc.

**SUMMARY**

**Professionalism**

Professionals are given a special place in society to fulfill needed services that require learned, competent and ethical attention to
Entry into the profession is based on a combination of proven knowledge and skill and is usually regulated by the state. Professional competence can be enhanced by participation in professional societies where the frontiers of professional knowledge are explored and standards for the profession are developed or changed. Professionals are given exclusive privileges to practice their profession along with the power to make professional opinions on which to base their services. They are expected to value this privilege and use it responsibly by keeping their competence current and effective and exercising proper judgment. Professionals can be held liable for abuse of their privileges and must exercise caution at all times.

**Surveying as a Profession**

Surveying is a profession that is sometimes clouded with confusion. However, misuse of the term to include technicians and aides working for surveyors has possibly led to confusion over whether surveying is a profession or not. Surveying practice meets all the definitions of professionalism and is regulated by the state and federal governments as a profession. Surveyors are given the privilege of forming professional opinions on the validity of measurements and the placement of property boundaries. Surveyors are expected to practice within the guidelines of a “standard of care.” Problems with enforcing unwritten “standards of care” have led to promulgation of written minimum standards and codes of conduct within the statutes and regulations governing professional surveying practice. Surveyors are expected to know and understand the statutes and regulations and then practice accordingly.

**Ethics**

Ethics form the core of our social behavior. They are necessary for the successful interaction needed in a productive society built on trust. Ethics differ from morals by placing the emphasis on actions not values. For judgment on whether a given behavior is ethical or not, the context of the behavior must be known.

There is a difference between a “good” and an “ethical” surveyor. Being a “good” surveyor does not by itself make one an ethical surveyor. Surveyors must also remember that they are professionals, and not businessmen, and are held to a higher standard of conduct than businessmen are. A surveyor should behave ethically for two basic reasons: 1) because that is how he or she should expect to be treated by others; and 2) it is in his or her long-term interest to build a reputation as an ethical, trustworthy professional that the public can rely upon.

Professional ethics differ from personal ethics by requiring that the professional assume responsibilities to clients and the general public in the practice of his or her professional privileges. Codes of conduct set these responsibilities in writing. As society changes, so must the standards of conduct. Adherence to a professional code of conduct is a key to successful professional practice and the avoidance of professional liability.

**Tenets of Surveying Ethics**

Codes of professional conduct for surveyors can be broken down into recognizable individual, yet overlapping, tenets. These tenets are based on a relationship of trust between the professional and his or her client and the safeguarding of both the profession and the general public from harm. Understanding these tenets can be useful in the interpretation and practical application of codes of conduct. However, there must also be recognition that situations may develop where one part of the code appears to conflict with another part of the code. Dealing with such conflicts requires the careful application of ethical judgment.
Quiz Questions

The following ten (10) question quiz will test the student’s comprehension of the course. The student must past this online quiz with a score greater than 70%.

1. Professionalism and ______ are very important in the practice of surveying.
   - Recognition
   - Responsibilities
   - Expertise
   - Ethics

2. What are ethics in the practice of surveying?
   - Theoretical Framework when dealing with all aspects of surveying
   - A web that makes surveying practice recognizable and respected
   - It grants privilege to practice the profession by recognizing inherent responsibilities and trust in the expertise and knowledge of the surveyor
   - All of the above

3. True or False? The actions of the surveyor only reflects on himself/herself.
   - True
   - False

4. What can be used as a guide for ethical behavior in the land surveyor profession?
   - Ethical cannons
   - State laws
   - Code of ethics
   - Both A and C
5. **How are professionals distinguished from businesspersons?**
   - Professionals provide services instead of goods
   - Professionals provide services that require high intellectual skills as well as manual skills
   - Professionals hold a doctoral, masters or bachelor’s degree
   - All of the above

6. **What is the most important privilege conferred to professionals?**
   - Professional Societies
   - The right to a professional opinion
   - Public service
   - Professional courtesy

7. **True or False? If the surveyor fails to obligate the standard of care appropriate for the client’s need, court and/or board action may be taken against the surveyor.**
   - True
   - False

8. **What is ethical practice?**
   - Is doing the right thing at the right time
   - Is morals put into action
   - None of the above
   - All of the above
9. **When relying on another practitioner’s work products, a surveyor must what?**

- Verify the other practitioner’s credentials
- Rely on the work product only if it passes a litmus test
- Verify the work product for accuracy and completeness
- Cannot rely on that work product

10. **How does ethics differ from morals?**

- Ethics places emphasis on values not actions
- Ethics places emphasis on actions not values
- There is no difference
- Ask any politician